IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yi Tso et al. : Group Art Unit: 4124

Serial No.: 10/615,250 : Examiner: Brian Fertig

Filed: July 8, 2003 : Attorney Docket No.: 006878.114001

For: METHOD AND SYSTEM FOR PROVIDING PRINCIPAL

PROTECTION EXPOSURE TO

EQUITY MARKETS

ELECTRONIC MAIL CERTIFICATE

Date of Deposit: March 17, 2008

I hereby certify that the following attached paper(s) and/or fee

- (1) General Transmittal:
- (2) Petition for Extension of Time (3-month); and
- (3) Amendment in Response to October 12, 2007 Office Action.

are being transmitted electronically to the United States Patent Office on the date indicated above.

Respectfully submitted,

GREENBERG TRAURIG, LLP.

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Dated: March 17, 2008

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Application Number

June 15, 250 10/615,250 TRANSMITTAL Filing Date July 8, 2003 FORM First Named Inventor Yi Tso Art Unit 4124 Examiner Name Brian Fertig (to be used for all correspondence after initial filing) Attorney Docket Number 006878.114001 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC 1 Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request helow): Request for Refund Express Abandonment Request CD. Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name GREENBERG TRAURIG, LLP Signature /barry i schindler/ Printed name Barry J. Schindler Reg. No. March 17, 2008 32.938 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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Signature

Fyped or printed name